

**The University of Western Ontario  
Management and Organizational Studies**

**MOS 3310A s004: Finance**

Instructor: Diana Mok  
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Fall 2008  
Office Hours: Tuesday 10:30–12:30pm

Text:

Brealey, R., Myers, C., Marcus, A.J., Maynes, E.M., and Mitra D. 2006. *Fundamentals of Corporate Finance (Third Canadian Edition)*. Toronto: McGraw-Hill Ryerson.

Evaluation:

Midterm Examination	40% (October 18, 2008; 2-4pm; HSB 35)
Final Examination	40% (TBA)
Project/Assignment	20% (TBA)

Topics (See lecture schedule):

- I. Introduction (Chapters 1–3)
- II. Valuation (Chapters 4–9)
- III. Risk and Return (Chapters 10–12)
- IV. Financing (Chapters 13–14)
- V. Capital Structure and Dividend Policy (Chapters 15–16)
- VI. Financial Planning (Chapters 17–19)
- VII. Short-term Financial Decisions (Chapters 20–21)
- VIII. Special Topics (if time permits)

*Important Remarks*

***There will be no make-up midterm exam given.*** If you miss the midterm examination and provide valid official documentation (subject to approval by Academic Counseling), then the weight of the midterm will be added to the final.

***Requests for make-up exams and other special arrangements will ONLY be considered in extenuating circumstances and ONLY upon recommendation of Academic Counseling or the Dean's Office. Please see Academic Counseling before requesting exam accommodation.***

Requests for reassessments must be made within 7 working days after the graded material has been made available to students (i.e., the day the test is returned). If a student does not claim the returned material within 7 working days after the grade material has been returned to class, the student automatically forfeits the right to request for reassessments. Reassessments should normally be completed within 20 working days of the request. The instructor reserves the rights to adjust the grade upward or downward due to the reassessment.

If a student misses any classes, it is the student's responsibility to cover any materials missed. Answers and solutions to any questions or practice problems taken up in the lectures will not be posted.

Students who hand in their assignments late will be penalized at 10% per day late (i.e., 2 points towards the final grade), including Saturdays and Sundays.

If a student misses the final exam, it is the student's responsibility to petition Academic Counseling, Dean's Office in order to write a special exam in place of the original exam. Academic Counseling will expect the student to submit the appropriate documentation explaining the student's absence during the time of the original exam.

Cheating, plagiarism, and disruption of classes are not permitted; UWO considers these to be serious offences. Students must write their essays and assignments in their own words. Whenever students take an idea or passage from another author, they must acknowledge their debt both by using quotation marks where appropriate and by proper referencing such as footnotes or citations. (See, "Scholastic Offences" in the UWO Calendar for further explanation).

Plagiarism is a major academic offence. For information on scholastic offences, penalties and other academic rights and responsibilities please see the [Academic Rights and Responsibilities](#) section of the academic calendar.

All required papers may be subject to submission for textual similarity review to the commercial plagiarism detection software under license to the University for the detection of plagiarism. All papers submitted will be included as source documents in the reference database for the purpose of detecting plagiarism of papers subsequently submitted to the system. Use of the service is subject to the licensing agreement, currently between The University of Western Ontario and Turnitin.com.

Students may want to communicate to the instructor via email. It is advised that these questions require only a short answer. Questions that may need an answer longer than three sentences should be addressed during the office hours. In some instances, the information in the email may be beneficial to the whole class. In this case, the email may be broadcasted to the class. Students are advised, therefore, not to include private or personal information in any emails.

**Lecture schedule (subject to change)**

<b>Date</b>	<b>Chapter</b>	<b>Topic</b>
<b>INTRODUCTION</b>		
Sept. 4	Chapter 1	Overview of Managerial Finance
Sept. 9	Chapter 2	The Financial Environment
Sept. 11	Chapter 17	Financial Statement Analysis
	Chapter 18	Financial Planning
<b>VALUE</b>		
Sept. 16	Chapter 4	Time value of money
Sept. 18	Chapter 4	Time value of money
	Chapter 5	
Sept. 23	Chapter 6	Valuing Bonds and Stocks
	Chapter 5	
Sept. 25	Chapter 6	Valuing Bonds and Stocks
Sept. 30	Chapter 7	Net Present Value
Oct. 2	Chapter 8	Discounted Cash Flow Analysis
Oct. 7	Chapter 8	Discounted Cash Flow Analysis
Oct. 9	Chapter 9	Project Analysis
Oct. 14	Chapter 9	Project Analysis
Oct. 16		Midterm Test Review
<b>OCTOBER 18 MIDTERM TEST</b>		
<b>RISK</b>		
Oct. 21	Chapter 10	Risk and Return
Oct. 23	Chapter 10	Risk and Return
Oct. 28	Chapter 11	Capital Budgeting
Oct. 30	Chapter 12	Cost of Capital
Nov. 4	Chapter 12	Cost of Capital
<b>CORPORATE FINANCING</b>		
	Chapter 13	
Nov. 6	Chapter 14	Corporate Financing
Nov. 11	Chapter 15	Capital Structure
Nov. 13	Chapter 16	Dividend Policy
<b>SHORT-TERM DECISIONS</b>		
Nov. 18	Chapter 19	Working Capital Management
Nov. 20		<i>Class Cancelled</i>
Nov. 25	Chapter 20	Cash and Inventory Management
<b>SPECIAL TOPICS</b>		
Nov. 27	Chapter 21	Credit Management
Dec. 2	Chapter 17	Final Exam Review