

CURRICULM VITAE

Shahbaz A. Sheikh, Associate Professor

Dan Department of Management and Organizational Studies (MOS)
The University of Western Ontario
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Education

Ph.D. (International Economics and Finance), International Business School, Brandeis University, USA, 2004

Dissertation: Executive compensation and managerial turnover

M.S. (International Economics and Finance) International Business School, Brandeis University, USA, 1999

Thesis: Ownership structure: What determines the use of owner operators in the US trucking industry?

Diploma in Banking, Institute of Bankers in Pakistan, Karachi, Pakistan, 1997

M.Phil. (Economics), Quaid-i-Azam University Islamabad, Pakistan, 1993

Dissertation: Debt management, dependency, and policy alternatives for Pakistan.

M.Sc. (Economics), Quaid-i-Azam University Islamabad, Pakistan, 1991

Thesis: Determinants of personal earnings for the self-employed in the urban informal sector of Pakistan

Academic Positions

Associate Professor (tenured), Dan Department of Management and Organizational Studies, the University of Western Ontario, Canada, 2011-Present

Assistant Professor of Finance, Management and Organizational Studies, the University of Western Ontario, Canada, 2005-2011

Lecturer, International Business School, Brandeis University, USA, 2004-2005

Adjunct Lecturer, International Business School, Brandeis University, USA, 2003-2004

Instructor, Summer School, Brandeis University, USA, 2003-2004

Training Officer, National Institute of Banking and Finance, SBP, Pakistan, 1995-1997

Research Associate, Department of Economics, Quaid-i-Azam University, Pakistan, 1991-1991

Refereed Journal Articles

1. Chakraborty, A., Gau, L., & Sheikh, S. (forthcoming). Corporate governance and risk in cross-listed and Canadian only companies. *Management Decision*.
2. Sheikh, S. (forthcoming). CEO power, product market competition and firm value. *Research in International Business and Finance*.
3. Chakraborty, A., Gau, L., & Sheikh, S. (forthcoming). Does size affect the relationship between option compensation and managerial risk taking? Evidence from Canadian listed companies. *International Journal of Finance and Economics*.
4. Sheikh, S. (forthcoming). Is corporate social responsibility a value increasing investment? Evidence from antitakeover provisions. *Global Finance Journal*.
5. Chakraborty, A., Gau, L., & Sheikh, S. (forthcoming). Managerial risk taking incentives, corporate social responsibility and firm risk. *Journal of Economics and Business*.
6. Sheikh, S. (2018). Corporate social responsibility, product market competition and firm value. *Journal of Economics and Business*, 98, 40-55.
7. Sheikh, S. (2018). The impact of competition on the relation between CEO power and firm innovation. *Journal of Multinational Financial Management*, 44, 36-50.
8. Sheikh, S. (2017). Threat of termination and firm innovation. *Annals of Finance*, 13, 75-95.
9. Sheikh, S. (2017). Peer group benchmarking in CEO compensation and firm innovation: Evidence from patents and citations. *International Journal of Accounting and Finance*, 7(2), 163-184.
10. Sheikh, S. (2017). Relative compensation and CEO turnover. *Frontiers in Finance and Economics*, 14(1) 1-28. **Lead article**
11. Chakraborty, A. & Sheikh, S. (2015). The pressure to perform: Option compensation and forced CEO turnover. *International Journal of Business*, 20(4) 308-325.
12. Chakraborty, A., Rzakhanov, R., & Sheikh, S. (2014). Antitakeover provisions, managerial entrenchment and firm innovation. *Journal of Economics and Business*, 72, 30-43.
13. Chakraborty, A. & Sheikh, S. (2014). Corporate governance and firm innovation: when and how governance matters? *The International Journal of Finance*. 26(4), 360-380. **Lead article**
14. Sheikh, S. (2012). Do CEO compensation incentives affect firm innovation? *Review of Accounting and Finance*. 11(1), 4-39. **Lead article**.
15. Chakraborty, A. & Sheikh, S. (2010). Antitakeover amendments and managerial entrenchment:

new evidence from investment policy and CEO compensation. *Quarterly Journal of Finance and Accounting* 49 (1), 81-103.

16. Chakraborty, A., Subramanian, N., and Sheikh, S. (2009). The relationship between incentive compensation and forced CEO turnover. *Journal of Economics and Business* 61, 295-311.
17. Chakraborty, A., Subramanian, N., & Sheikh, S. (2007). Termination risk and managerial risk taking. *Journal of Corporate Finance* 13(1), 170-188.
18. Subramanian, N., Chakraborty, A. & Sheikh, S. (2007). Repricing and executive turnover. *The Financial Review* 42(1), 121-141.
19. Sheikh, S. (2007). Determinants of asset ownership: some evidence from the US trucking industry. *The International Journal of Finance* 19 (1), 4278-4299.
20. Ahmad, F., Capretz, L.F., & Sheikh, S. (2007). Institutionalization of software product line: an empirical investigation of key organizational factors. *Journal of Systems and Software* 80 (6), 836-849.
21. Aslam, M., & Sheikh, S. (1996). The bomb of foreign debt: is there any way to escape? A case study of Pakistan. *Pakistan Journal of Applied Economics* XII (1), 67-84.
22. Aslam, M., & Sheikh, S. (1993). Pakistan's foreign dependence, its capacity for debt repayment and future prospects. *Pakistan Economic and Social Review* XXXI (1), 39-62.

Refereed Book Chapters

23. Chakraborty, A. & Sheikh, S. (2008). Corporate governance mechanisms and performance related CEO turnover. In: J. J. Choi and S. Dow, (eds.) *International Finance Review* 9, 143-161.

Revise and Resubmit

1. An examination of the components of CEO power and corporate social responsibility. Revise and resubmit, *Review of Accounting and Finance*.
2. The effect of corporate social responsibility on firm innovation and long-term value. Revise and resubmit, *Corporate Governance: An International Review*.

Articles under Review

1. CEO power and corporate risk: The impact of market competition and corporate governance
2. Corporate governance, CEO power and firm leverage

3. Corporate social responsibility and firm financing decisions.
4. CEO inside debt, market competition and corporate risk taking.

Work in Progress

1. Determinants of firm innovation: Evidence from patents and citations
2. Does Economic Value Added (EVA) affect CEO turnover? With A. Chakraborty.
3. Corporate social responsibility (CSR) linked compensation and firm risk. With A. Chakraborty, and L. Gau
4. Corporate social responsibility (CSR) linked compensation and CEO turnover. With A. Chakraborty, and L. Gau
5. CEO inside debt and corporate social responsibility

Conference Presentations

1. Antitakeover provisions, managerial entrenchment and firm innovation. Eastern Finance Association Annual Meeting, Boston, Massachusetts, 2012.
2. The relationship between incentive compensation and CEO Termination. Global Finance Conference, Hangzhou, People's Republic of China, summer 2008
3. Performance incentives, performance pressure and CEO turnover. Financial Management Association Annual Meeting, Salt Lake City, Utah 2006
4. Termination risk, executive compensation and CEO turnover" Financial Management Association Annual Meeting, New Orleans, Louisiana, 2004
5. Performance incentives, performance pressure and CEO turnover. NBER Universities Conference on Corporate Finance, Boston, Massachusetts, 2003
6. Repricing and executive turnover. NBER Summer Meeting, Boston, Massachusetts, 2003

Seminars/ Invited Presentations

Lahore University of Management Sciences (LUMS), 2010, 2012

Ph.D. Seminar Series, Brandeis University, 2001, 2003

Teaching

Dan Department of Management and Organizational Studies (MOS), Western University London, Ontario:

ACS 310 a & b Finance (2005-2008)
MOS 3310a & b Corporate Finance (2009-2010)
MOS 3398, MOS 4497, MOS 4312 Derivatives: (2008-2015, 2017)
MOS 3311 a & b Advanced Corporate Finance: (2011-2015)
COMMMGT 9310 B Fundamentals of Finance: (2015-2016; 2017-18)

New courses designed and taught at MOS

MOS 4312 Derivatives: 4th year course.
MOS3311 a & b Advanced Corporate Finance. The course started with one section and now there are seven sections totaling 315 students.

COMMMGT 9310 B Fundamentals of Finance for Master of Applied Sciences (MMASc.) graduate program with Health Sciences

Both MOS3311 and MOS4312 make it easy for my students to pass Chartered Financial Analyst (CFA) designation.

Brandeis University, International Business School and Summer School:

ECON2a Introduction to Economics
ECON 80a Microeconomics
ECON 174a Corporate Finance
ECON 8b Global Economy
BUS70b Business in Global Economy

National Institute of Banking and Finance, State Bank of Pakistan:

Coordinated and taught courses to international bankers from more than 100 developing countries from Sub-Saharan Africa, Central Asia, South Asia and Middle East with Ministry of Finance and Foreign Affairs and the Central Bank of Pakistan.

Professional Experience

Summer Associate, Standard & Poor's DRI, Boston, USA, 2000

Officer, Banking Inspection Department State Bank of Pakistan, Pakistan, 1994-1995

Professional Development

John Wiley & Sons Canada, Introduction to Finance Workshop, Toronto, Canada (April 23-24, 2012). Topic: teaching finance at the undergraduate level.

Harvard Business School Publishing, Cambridge MA USA (November 12-13, 2004)
Intensive Seminar for faculty members on “The Art and Craft of Discussion Leadership” (Teaching and the Case Method)

Academic Review

The Financial Review
The International Journal of Finance
Journal of Business Ethics

Manuscripts Review

John Wiley & Sons

Introduction to Corporate Finance, Booth and Cleary

Neilson Education

Financial Management: Theory and Practice, Brigham et al (1st Canadian Edition)

McGraw-Hill Ryerson

Fundamentals of Corporate Finance, Brealey et al (5th Canadian Edition)

Corporate Finance, Ross et al (6th Canadian Edition)

Essentials of Corporate Finance, Ross et al

Fundamentals of Corporate Finance, Ross et al (7th Canadian Edition)

Routledge- Taylor & Francis Group

Introduction to Derivative Markets, Goldenberg

Grants/Funding

DanCap Equity Research Fellowship (\$2,000), 2008

DanCap Equity Research Fellowship (\$2,000), 2010

DanCap Equity Research Fellowship (\$2,000), 2012

Doctoral Examiner

1. Srikanth, Ramani, “*Three Essays on Empirical Finance*” Ph.D., Richard Ivey Business School, the University of Western Ontario, 2016
2. Dang, Chongyu, “*Three Essays on Empirical Finance and Corporate Governance*” Ph.D.,

Richard Ivey Business School, the University of Western Ontario, 2016

3. Liaw, Chya-Yi, "*A Principal-Agent View on International Hotel Branding and Empirical Evidence from China*" Ph.D., Richard Ivey Business School, the University of Western Ontario, 2015
4. Farah, Bassam Elias, "*Multinational Enterprise Parent-Foreign Subsidiary Governance.*" Ph.D., Richard Ivey Business School, the University of Western Ontario, 2014
5. Mitchell, Chris, "*Essays on Capital Gains, Household Consumption, and Corporate Payout Policy.*" Ph.D., Department of Economics, the University of Western Ontario, 2012
6. Zheng, Liu, "*Strategic Overpricing of Initial Public Offerings.*" Ph.D., Richard Ivey Business School, the University of Western Ontario, 2012
7. Yonglin, Sun, "*A Transaction Governance Perspective on Business Entertainment: A General Model and Evidence from China.*" Ph.D., Richard Ivey Business School, the University of Western Ontario, 2012
8. Farooqi, Fuad, "*Credit Swap Defaults: Essays on Model and Market Efficiency.*" Ph.D., Richard Ivey Business School, the University of Western Ontario, 2010
9. Li, Daisy, "*Corporate Financing: Location, Timing, and Governance.*" Ph.D., Richard Ivey Business School, University of Western Ontario, 2010
10. Yang, Gurong, "*The Impact of Financial Markets on Capital Raising and Corporate Governance.*" Ph.D., Richard Ivey Business School, University of Western Ontario, 2010
11. Khan, Saqib, "*Issues in Derivatives Price Dynamics.*" Ph.D., Richard Ivey Business School, University of Western Ontario, 2007
12. Colette, Southam, "*Signaling to Overcome Market Asymmetry.*" Ph.D., Richard Ivey Business School, University of Western Ontario, 2006

Academic Service (Department)

Chair, MOS Graduate Task Force, Dan Department of Management and Organizational Studies, 2017-present

Research Chair, Dan Department of Management and Organizational Studies, 2017-present

Member, Chair Selection Committee 2017-present

Member, MOS Graduate Program Task Force, 2012-15

Member, Director Selection Committee, Dan Management and Organizational Studies, 2011-12

Member, Promotion and Tenure Committee, Management and Organizational Studies, 2011-14, 2015-16, 2018-19.

Member, Director's Advisory Committee, 2006, 2009, 2010, 2011, 2013, 2014, 2015

Member, Appointments Committee, 2006, 2010, 2011, 2015-16, 2018-19

Member, Annual Performance Evaluation Committee 2007, 2008, 2009, 2010, 2011, 2013, 2014

Member, Space Committee, 2008, 2009

Member, Workload Committee, 2009, 2010, 2011, 2014

Member, DanCap Private Equity Fellowship Committee, 2008

Member, DanCap Equity Research Fellowship Committee, 2007

Member, Curriculum Committee, 2006

Member, Distinguished Speaker Committee, 2018

Scholar's Elective Mentor

Sophia Lisa Chang, "*The Financial System: An Overview of Financial Markets and Instruments*" 2012-13

Faculty of Social Science Mentoring

Mentor, Faculty of Social Science Pre-tenure Mentoring Program for probationary faculty

Fellowships, Awards and Honors

Fellowships

Benjamin Franklin Parr Fellowship (\$40,000), 2011-14

Benjamin Franklin Parr Fellowship (\$40,000), 2008-11

DanCap Equity Faculty Fellowship (\$11,500), 2008

Fulbright Pre-doctoral Fellowship, Institute of International Education, Washington D.C., USA, 1998-99

Full Ph.D. fellowship, International Business School, Brandeis University, USA, 1999-2003

National Talent Scholarship, Board of Intermediate and Secondary Education, Lahore, Pakistan,

1984, 1986

Awards

Dean's Award for Excellence in Teaching– Faculty of Social Science- 2018.

University Student Council (USC) Teaching Honour Roll, 2015-16

University Student Council (USC) Teaching Honour Roll, 2017-18

CPA Teaching Award, Dan Management and Organizational Studies 2014-15

CPA Teaching Award, Dan Management and Organizational Studies 2013-14

CPA Teaching Award, Dan Management and Organizational Studies 2011-12

Teaching Assistant of the year, International Business School, Brandeis University, USA, 2001

State Bank of Pakistan Gold Medal, NDFC and Bank of America Prizes in the Banking Diploma Examination of Institute of Bankers in Pakistan, 2004

M.Phil. Dissertation awarded the best thesis of the year, 1993

Silver Medal in grade 10 and grade 12 in city wide testing by Board of Intermediate and Secondary Education, Lahore, Pakistan, awarded twice. 1984, 1986

Honors

Third position in the country wide Pakistan Banking and Finance Services Competitive Examination, 1994

Valedictorian (Student Speaker), the Ninth Graduation Exercises, International Business School, Brandeis University, USA, 2004

Others

Poet and song writer in Urdu language