

CURRICULM VITAE

Shahbaz A. Sheikh, Associate Professor

Department of Management and Organizational Studies (MOS)

The University of Western Ontario

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Education

- 2004 Ph.D. (International Economics and Finance), International Business School, Brandeis University, USA.
- 1999 M.S. (International Economics and Finance) International Business School, Brandeis University, USA.
- 1997 Diploma in Banking, Institute of Bankers in Pakistan, Karachi, Pakistan.
- 1993 M.Phil. (Economics), Quaid-i-Azam University Islamabad, Pakistan
- 1991 M.Sc.(Economics), Quaid-i-Azam University Islamabad, Pakistan

Academic Positions

- 2011-Present Associate Professor (tenured), Department of Management and Organizational Studies, the University of Western Ontario, Canada
- 2005-2011 Assistant Professor of Finance, Department Management and Organizational Studies, the University of Western Ontario, Canada
- 2004-2005 Lecturer, International Business School, Brandeis University, USA
- 2003-2004 Adjunct Lecturer, International Business School, Brandeis University, USA
- 2003-2004 Instructor, Summer School, Brandeis University, USA
- 1995-1997 Training Officer, National Institute of Banking and Finance, SBP, Pakistan
- 1991-1991 Research Associate, Department of Economics, Quaid-i-Azam University, Pakistan

Publications

Refereed Articles

- Sheikh, S. (2018). Is corporate social responsibility a value increasing investment? Evidence

from antitakeover provisions. *Global Finance Journal* (forthcoming).

- Sheikh, S. (2017). Threat of termination and firm innovation. *Annals of Finance* 13, 75-95.
- Sheikh, S (2017). Peer group benchmarking in CEO compensation and firm innovation: Evidence from patents and citations. *International Journal of Accounting Finance*, 7(2), 163-184.
- Sheikh, S. (2017). Relative compensation and CEO turnover. *Frontiers in Finance and Economics*, 14(1) 1-28. **Lead article**
- Chakraborty, A. and Sheikh, S. (2015). The pressure to perform: option compensation and forced CEO turnover. *International Journal of Business*. 20(4) 308-325.
- Chakraborty, A., Rzakhanov, R., and Sheikh, S. (2014). Antitakeover provisions, managerial entrenchment and firm innovation. *Journal of Economics and Business*. 72, 30-43.
- Chakraborty, A. and Sheikh, S. (2014). Corporate governance and firm innovation: when and how governance matters? *The International Journal of Finance*. 26(4), 360-380. **Lead article**
- Sheikh, S. (2012). Do CEO compensation incentives affect firm innovation? *Review of Accounting and Finance*. 11(1), 4-39. **Lead article**.
- Chakraborty, A. and Sheikh, S. (2010). Antitakeover amendments and managerial entrenchment: new evidence from investment policy and CEO compensation. *Quarterly Journal of Finance and Accounting* 49 (1), 81-103.
- Chakraborty, A., Subramanian, N., and Sheikh, S. (2009). The relationship between incentive compensation and forced CEO turnover. *Journal of Economics and Business* 61, 295-311.
- Chakraborty, A., Subramanian, N., and Sheikh, S. (2007). Termination risk and managerial risk taking. *Journal of Corporate Finance* 13(1), 170-188.
- Subramanian, N., Chakraborty, A. and Sheikh, S. (2007). Repricing and executive turnover. *The Financial Review* 42(1), 121-141.
- Sheikh, S. (2007). Determinants of asset ownership: some evidence from the US trucking industry. *The International Journal of Finance* 19 (1), 4278-4299.
- Ahmad, F., Capretz, L.F., and Sheikh, S. (2007). Institutionalization of software product line: an empirical investigation of key organizational factors. *Journal of Systems and Software* 80 (6), 836-849.
- Aslam, M., and Sheikh, S. (1996). The bomb of foreign debt: is there any way to escape? A case study of Pakistan. *Pakistan Journal of Applied Economics* XII (1), 67-84.

- Aslam, M., and Sheikh, S. (1993). Pakistan's foreign dependence, its capacity for debt repayment and future prospects. *Pakistan Economic and Social Review* XXXI (1), 39-62.

Book Chapters

- Chakraborty, A. and Sheikh, S. (2008). Corporate governance mechanisms and performance related CEO turnover. In: J. J. Choi and S. Dow, (eds.) *International Finance Review* Vol. 9, 143-161.

Articles under Submission

- Corporate social responsibility, firm performance in innovation and long-term value
- Managerial risk taking incentives, corporate social responsibility and firm risk. with A. Chakraborty and L. Gau
- Does size affect the relation between option compensation and managerial risk taking? Evidence from Canada. With A. Chakraborty and L. Gau
- Corporate governance mechanisms and firm risk in cross-listed and Canadian only companies With A. Chakraborty and L. Gau
- The endogeneity in corporate social responsibility and firm value: Using religion rank and state political orientation as instrumental variables.
- The effect of corporate social responsibility on firm innovation and long-term value.

Work in Progress

- Determinants of firm innovation: Evidence from patents and citations
- Does Economic Value Added (EVA) affect CEO turnover? With A. Chakraborty.
- CEO power and firm performance in innovation
- CEO turnover and corporate social responsibility

Conference Presentations

- Antitakeover provisions, managerial entrenchment and firm innovation. Eastern Finance Association Annual Meeting, Boston, Massachusetts, 2012.
- The relationship between incentive compensation and CEO Termination. Global Finance Conference, Hangzhou, People's Republic of China, summer 2008

- Performance incentives, performance pressure and CEO turnover. Financial Management Association Annual Meeting, Salt Lake City, Utah 2006
- Termination risk, executive compensation and CEO turnover” Financial Management Association Annual Meeting, New Orleans, Louisiana, 2004
- Performance incentives, performance pressure and CEO turnover. NBER Universities Conference on Corporate Finance, Boston, Massachusetts, 2003
- Repricing and executive turnover. NBER Summer Meeting, Boston, Massachusetts, 2003

Seminars/ Invited Presentations

2010, 2012 Lahore University of Management Sciences (LUMS)
 2001, 2003 Ph.D. Seminar Series, Brandeis University
 2002, 2001 Graduate Student Symposium, Brandeis University

Teaching

The University of Western Ontario, Dan Management and Organizational Studies:

Corporate Finance (MOS 3310a & b) Derivatives (MOS 3398, MOS 4497, MOS 4312) Advanced Corporate Finance (MOS 3311 a & b) Fundamentals of Finance (COMMMGT 9310 B)

Brandeis University, International Business School:

Introduction to Economics (ECON2a); Microeconomics (ECON 80a); Corporate Finance (ECON 174a); Global Economy (ECON 8b); Business in Global Economy (BUS70b)

Brandeis University, Summer School:

Microeconomic Theory (ECON 80a); Business in Global Economy (BUS70b); Introduction to Economics (ECON2a)

National Institute of Banking and Finance, State Bank of Pakistan:

Central Banking, Commercial Banking, Economics for Bankers, Intermediate Macroeconomics, Monetary Economics, Central Banking

Professional Experience

2000 Summer Associate, Standard & Poor’s DRI, Boston, USA

1994-1995 Officer, Banking Inspection Department State Bank of Pakistan, Pakistan

Professional Development

- 2007 John Wiley & Sons Canada, Introduction to Finance Workshop, Toronto, Canada (April 23-24). Topic: teaching finance at the undergraduate level.
- 2004 Harvard Business School Publishing, Cambridge MA USA (November 12-13)
Intensive Seminar for faculty members on “The Art and Craft of Discussion Leadership” (Teaching and the Case Method)

Academic Review

The Financial Review
The International Journal of Finance
Journal of Business Ethics

Manuscripts Review

John Wiley & Sons

Introduction to Corporate Finance, Booth and Cleary

Neilson Education

Financial Management: Theory and Practice, Brigham et al (1st Canadian Edition)

McGraw-Hill Ryerson

Fundamentals of Corporate Finance, Brealey et al (5th Canadian Edition)

Corporate Finance, Ross et al (6th Canadian Edition)

Essentials of Corporate Finance, Ross et al

Fundamentals of Corporate Finance, Ross et al (7th Canadian Edition)

Routledge- Taylor & Francis Group

Introduction to Derivative Markets, Goldenberg

Grants/Funding

- 2008 DanCap Equity Research Fellowship (\$2,000)
- 2010 DanCap Equity Research Fellowship (\$2,000)
- 2012 DanCap Equity Research Fellowship (\$2,000)

Doctoral Examiner

- 2016 Dang, Chongyu, “*Three Essays on Empirical Finance and Corporate Governance*”
Ph.D., Richard Ivey Business School, the University of Western Ontario

- 2015 Liaw, Chya-Yi, "*A Principal-Agent View on International Hotel Branding and Empirical Evidence from China*" Ph.D., Richard Ivey Business School, the University of Western Ontario
- 2014 Farah, Bassam Elias, "*Multinational Enterprise Parent-Foreign Subsidiary Governance.*" Ph.D., Richard Ivey Business School, the University of Western Ontario
- 2012 Mitchell, Chris, "*Essays on Capital Gains, Household Consumption, and Corporate Payout Policy.*" Ph.D., Department of Economics, the University of Western Ontario.
- 2012 Zheng, Liu, "*Strategic Overpricing of Initial Public Offerings.*" Ph.D., Richard Ivey Business School, the University of Western Ontario
- 2012 Yonglin, Sun, "*A Transaction Governance Perspective on Business Entertainment: A General Model and Evidence From China.*" Ph.D., Richard Ivey Business School, the University of Western Ontario
- 2010 Farooqi, Fuad, "*Credit Swap Defaults: Essays on Model and Market Efficiency.*" Ph.D., Richard Ivey Business School, the University of Western Ontario
- 2010 Li, Daisy, "*Corporate Financing: Location, Timing, and Governance.*" Ph.D., Richard Ivey Business School, University of Western Ontario
- 2010 Yang, Gurong, "*The Impact of Financial Markets on Capital Raising and Corporate Governance.*" Ph.D., Richard Ivey Business School, University of Western Ontario
- 2007 Khan, Saqib, "*Issues in Derivatives Price Dynamics.*" Ph.D., Richard Ivey Business School, University of Western Ontario
- 2006 Colette, Southam, "*Signaling to Overcome Market Asymmetry.*" Ph.D., Richard Ivey Business School, University of Western Ontario.

Academic Service (Department)

2012-16	MOS Graduate Program Task Force
2011-12	Director Selection Committee
2011-14, 2015-16	Promotion and Tenure Committee
2006, 2009, 2010, 2011, 2013, 2014, 2015	Director's Advisory Committee
2007, 2008, 2009, 2010, 2011, 2013, 2014	Annual Performance Evaluation Committee
2008, 2009	Space Committee
2009, 2010, 2011, 2014	Workload Committee
2008	DanCap Private Equity Fellowship Committee
2007	DanCap Equity Research Fellowship
2006	Curriculum Committee
2006, 2010, 2011, 2015-16	Appointments Committee

Scholar's Elective Mentor

2012-13 Sophia Lisa Chang, "*The Financial System: An Overview of Financial Markets and Instruments*"

Mentoring

Mentor, Faculty of Social Science Mentoring Program for probationary faculty

Fellowships, Awards and Honors

Fellowships

2011-14 Benjamin Franklin Parr Fellowship (\$40,000)
2008-11 Benjamin Franklin Parr Fellowship (\$40,000)
2008 DanCap Equity Faculty Fellowship (\$11,500)
1998-99 Fulbright Pre-doctoral Fellowship, Institute of International Education, Washington D.C., USA
1999-2003 Full Ph.D. fellowship, International Business School, Brandeis University, USA
1984, 1986 National Talent Scholarship, Board of Intermediate and Secondary Education, Lahore, Pakistan, won twice.

Awards

2014 CPA Teaching Award, Dan Management, the University of Western Ontario.
2012 CPA Teaching Award, Dan Management the University of Western Ontario.
2001 Teaching Assistant of the year, International Business School, Brandeis University, USA
1994 State Bank of Pakistan Gold Medal, NDFC and Bank of America Prizes in the Banking Diploma Examination of Institute of Bankers in Pakistan
1993 M.Phil. Dissertation awarded the best thesis of the year
1984, 1986 Silver Medal in grade 10 and grade 12 in city wide testing by Board of Intermediate and Secondary Education, Lahore, Pakistan, Awarded twice.

Honors

1994 3rd in Pakistan Banking and Finance Services Competitive Examination
2004 Student Speaker, the Ninth Graduation Exercises, International Business School, Brandeis University, USA

Others Poet and song writer in Urdu language